#### Supplemental Statement

OMB No. 1105-0002

Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended.

		9 AUG 19 <b>91</b>	
For S	Six Month Period Endin	g(Insert date)	
Name of Registrant Garvey, Schubert & Bare A Partnership of Profes Business Address of Registrant 1011 Western Avenue, 10th F	ssional Corpora	Registration No.	3047
Seattle, WA 98104-1023		ICTO A NIT	
	ANALY	ISTRANT	
1. Has there been a change in the info	rmation previously furr	ished in connection with t	he following:
(a) If an individual:			
<ul><li>(1) Residence address</li><li>(2) Citizenship</li><li>(3) Occupation</li></ul>	Yes □ Yes □ Yes □	No □ No □ No □	
(b) If an organization:			
partners in registrant, ten became a shareholder in Gar Corporation (GSB-Washington	minated his relativey, Schubert & Bo ) and one of the p	ionship on February arer, a Washington I	28, 1991. Jan L. Essenburg Professional Services ant, on May 1, 1991.
3. Have any persons ceased acting as period? Yes 🛣 No 🗆		rs or similar officials of the	registrant during this 6 month reporting
If yes, furnish the following inform	ation:	<u>8</u>	
Name	Positi	on $\vec{\Xi}$	Date Connection
Peter R. Gilbert	Shareholder GS and Director	B-DC	Ended <b>2/28/91</b>

4.	Have any persons by Yes ⊠ No □	pecome partners, officers, director	rs or similar officia	lls during this 6 month	reporting period?
	If yes, furnish the foll	lowing information:			
	Name	Residence Address	Citizenship	Position	Date Assumed
Ja	n L. Essenburg	12070 Lakeside Pl. N.E. Seattle, WA 98125	U.S. Dire	Shareholder & ctor GSB—Washingtor	5/1/91
5.	Has any person name Yes □ No 🛭	ed in Item 4 rendered services direc			
	If yes, identify each s	uch person and describe his service	es.		
6.	Have any employees employment or connectify yes, furnish the following t	or individuals other than officials, we ection with the registrant during thi	who have filed a shor is 6 month reporting	t form registration stateme period? Yes □	ent, terminated their No 🏿
	Name		or connection		Date terminated
7.	rendered services to	llowing information:  **Residence**	e of the interests of a es □ No <b>K</b> □	any foreign principal in other $egin{array}{ll} egin{array}{ll} egin{a$	her than a clerical or Date connection
	Name	Address	cor	nnection	began

TT	EO	DE	CN	DD	INC	IDAT.

8. Has your connection with any foreign prinicpal ended during this 6 month reporting periods.	iod?	Yes 🔼	No □
If yes, furnish the following information:			
Name of foreign principal		Date of Te	ermination
Province of Manitoba	August 8,	1991	
9. Have you acquired any new foreign principal during this 6 month reporting period?	Yes 🕱	No	Li
If yes, furnish following information:			
Name and address of foreign principal		Dat	te acquired
Japan Wood-Products Information and Research Center (JAWIC) Rinyu Building, 1-7-12 Koraku Bunkyo-ku, Tokyo, Japan		April	1, 199
10. In addition to those named in Items 8 and 9, if any, list the foreign principals whom you 6 month reporting period.	continued to	represent o	during the
See attachment			
III—ACTIVITIES			
11. During this 6 month reporting period, have you engaged in any activities for or rendered a named in Items 8, 9, and 10 of this statement? Yes ☒ No □	ny services to	any foreigr	n principal
If yes, identify each such foreign principal and describe in full detail your activities and s	services:		

See attachment

<sup>1</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, In action in the part of the Act (See Rule 208.)

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12.	During this 6 r Yes 🗷	month reporting period, have you on behalf of any foreign principal engaged in political activity <sup>2</sup> as defined below? No $\ \square$
	See	attachment
	arranged, spo	each such foreign principal and describe in full detail all such political activity, indicating, among other things, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant insored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, takers and subject matter.
13.	In addition to t your foreign p	he above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of rincipals?  Yes  No  No
	If yes, describe	e fully.
i		

<sup>&</sup>lt;sup>2</sup>The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV—FINANCIAL INFORMATION

4. (a)	RECEIPTS—MONIES During this 6 month reportistatement, or from any other either as compensation or o	source, for or in the interests of	I from any foreign principal named i fany such foreign principal, any contr No □	n Items 8, 9 and 10 of this ibutions, income or money
	If yes, set forth below in the	e required detail and separate	ely for each foreign principal an acco	unt of such monies. <sup>3</sup>
	Date	From Whom	Purpose	Amount
	See attachmen	ıt		
				Total
(1	named in Items 8, 9 and 10 Yes □ No <b>X</b>	ting period, have you received of this statement, or from any	I any thing of value <sup>4</sup> other than mone other source, for or in the interests o	y from any foreign principal f any such foreign principal?
	If yes, furnish the following	ng information:		
	Name of foreign principal	Date received	Description of thing of value	Purpose

<sup>&</sup>lt;sup>3</sup>A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund ration campaign. See Rule 201(e).

<sup>4</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

	4.0					
15.	<ul><li>(a) DISBURSEMENTS—MON During this 6 month rep</li><li>(1) disbursed or expend this statement?</li></ul>	orting period, hav	ve you lection with activi No	ty on behalf of a	any foreign principa	ıl named in Items 8, 9 and 10 of
	(2) transmitted monies			Yes □	No 🍱	
	If yes, set forth below in monies transmitted, if a			for each foreig	n principal an acco	unt of such monies, including
	Date	To Wh	nom		Purpose	Amount
	See attachment					
				,		

Total

13. (U) DISBURSEMENTS-	- THINGS OF VALUE			
During this 6 mo connection with Yes □ No	onth reporting period, have you activities on behalf of any for [8]	ou disposed of anything of poreign principal named in i	value <sup>s</sup> other than mo items 8, 9 and 10 of	oney in furtherance of or in this statement?
If yes, furnish the fo	llowing information:			
Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
other person, made as	reporting period, have you fr ny contributions of money or on ny primary election, conventi	other things of value <sup>5</sup> in con-	nection with an elect	ion to any political office, or
If yes, furnish the foll	owing information:			
See at	tachment	Name of		
Date	Amount or thing of value			Name of candidate
	V—PC	OLITICAL PROPAGANDA		
communication or expre- believes will, or which he section of the public wi government of a foreign of in the United States racia political, or religious diso	Act defines "political propagession by any person (1) while eintends to, prevail upon, incithin the United States with country or a foreign political pall, religious, or social dissension der, civil riot, or other conflicition or political subdivision of	ch is reasonably adapted to doctrinate, convert, induce, reference to the political arty or with reference to the ins, or (2) which advocates, a it involving the use of force of	o, or which the person or in any other way in or public interests, foreign policies of the dvises, instigates, or or violence in any other	on disseminating the same influence a recipient or any policies, or relations of a le United States or promote promotes any racial, social er American republic or the
16. During this 6 month defined above?	reporting period, did you pre Yes □ No Ⅸ	epare, disseminate or cause	to be disseminated	any political propaganda as
IF YES, RESPOND TO	THE REMAINING ITEMS IN THIS	SECTION V.		
17. Identify each such for	oreign principal.			

<sup>5</sup>Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18.	During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes \(\sigma\) No \(\sigma\)					
	If yes, identify each such foreign principal, specify amount, and i	ndicate for what perioc	d of time.			
19.	19. During this 6 month reporting period, did your activities in prepar propaganda include the use of any of the following:		•			
	☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ I articles	Motion picture films	☐ Letters or telegrams			
	☐ Advertising campaigns ☐ Press releases ☐ ]	Pamphlets or other publications	☐ Lectures or speeches			
	□ Other (specify)					
20.	20. During this 6 month reporting period, did you disseminate or caus following groups:  □ Public Officials □ Newspapers	•	olitical propaganda among any of the			
	☐ Legislators ☐ Editors ☐ Government agencies ☐ Civic groups or asso ☐ Other (specify) ☐	ociations $\square$ F	Educational institutions Nationality groups			
21.	21. What language was used in this political propaganda:  □ English □ Other (spec					
22.	22. Did you file with the Registration Section, U.S. Department of Just disseminated or caused to be disseminated during this 6 month	reporting period?				
23.	23. Did you label each item of such political propaganda material with Yes □ No □		red by Section 4(b) of the Act?			
24.	24. Did you file with the Registration Section, U.S. Department of Just propaganda material as required by Rule 401 under the Act?		Report for each item of such political o			
	VI—EXHIBITS AND ATT	FACHMENTS				
25.	25. EXHIBITS A AND B					
	(a) Have you filed for each of the newly acquired foreign princip	als in Item 9 the follow	ving:			
	Exhibit $A^6$ Yes $\square$ No $\bowtie$ Exhibit $B^7$ Yes $\square$ No $\bowtie$					
	If no, please attach the required exhibit. Affacled Exhib	its A+B for JA	AWIC			
	(b) Have there been any changes in the Exhibits A and B previous during this six month period? Yes   No □	usly filed for any foreig	gn principal whom you represented			
	If yes, have you filed an amendment to these exhibits?	Yes □ No	젔			
	If no, please attach the required amendment.  See attachment, Exhibits B for Canada	in Emperium	Janga Fisheries Acreintin			
	See attachment, CXIII bits & for (GAAAA	an constany ?	1 130C1471CK			

The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26.	EXHIBIT C				
	If you have previously filed an Exhibit $C^8$ , state whe period. Yes $\square$ No $\square$ N/A	ther any	changes there	in have occurred during this 6 mo	nth reporting
	If yes, have you filed an amendment to the Exhibit	C?	Yes □	No □	
	If no, please attach the required amendment.				
27.	SHORT FORM REGISTRATION STATEMENT				
	Have short form registration statements been filed by Yes ☒ No □	all of the	persons name	d in Items 5 and 7 of the supplemen	tal statement?
	If no, list names of persons who have not filed the re	equired s	tatement.		
accı accı	The undersigned swear(s) or affirm(s) that he has (the attached exhibits and that he is (they are) familiar with urate to the best of his (their) knowledge and belief, euracy of the information contained in attached Short hin his (their) personal knowledge.	h the cont except tha	ents thereof ar at the undersig	nd that such contents are in their en ned make(s) no representation as	tirety true and to the truth or
		(	Type or print i	name under each signature)	
other or by	Both copies of this statement shall be signed and sworn to before a notary public or person authorized to administer oaths by the agent, if the registrant is an individual, a majority of those partners, officers, directors or persons performing similar ions who are in the United States, if the registrant is an organization.)	-	John K. I Garvey,	K, Hours Hoerster, President Schubert & Barer-Washingt	on.
		-	M. John Garvey,	Bundy, Secretary/Tressure Schubert & Barer-Washingt	er con
	Subscribed and sworn to before me atSo	M	wosh.		
this	day of September		, 19 _ <i>9</i> /		
				, _0 /	
				(Signature of notary or other officer)	

<sup>&</sup>lt;sup>8</sup>The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

# UNITED STATES DEPARTMENT OF JUSTICE REGISTRATION UNIT CRIMINAL DIVISION WASHINGTON, D.C. 20530

## NOTICE

Please answer the fo in triplicate with your s	cllowing questions and return this shees supplemental statement:
<ol> <li>Is your answer to It Propaganda - page 7 Supplemental Statemental</li> </ol>	em 16 of Section V (Political of Form CRM-154, formerly Form OBD-64 nt):
Yes	or No X
(If your answer to questic question 2 of this form.)	on 1 is "yes" do not answer
2. Do you disseminate as registration:	ny material in connection with your
Yes	or No
m ceretods, posters, by	such material including: films, rochures, press releases, etc. ed during the past six months.)  September 5, 1991
/\ Signature	Date
John K. Hoerster	
Please type or print name signatory on the line above	of • 경우 경우 응 경우 경우

#### GARVEY, SCHUBERT & BARER

A PARTNERSHIP OF PROFESSIONAL CORPORATIONS

\_SEATTLE
TENTH FLOOR
IOII WESTERN AVENUE
SEATTLE, WASHINGTON 98104-1023
(206) 464-3939

FIFTH FLOOR
1000 POTOMAC STREET N.W.
WASHINGTON, D.C. 20007
(202) 965-7880

PORTLAND
ELEVENTH FLOOR
IZI S.W. MORRISON STREET
PORTLAND, OREGON 97204
(503) 228-3939

FAX: (202) 965-1729

PLEASE REPLY TO WASHINGTON, D.C. OFFICE

September 9, 1991

#### BY HAND

Mr. Joseph E. Clarkson
Chief, Registration Unit
Internal Security Section, Criminal Division
U.S. Department of Justice
Room 9210, Bond Building
1400 New York Avenue, N.W.
Washington, D.C. 20530

Attention: Ms. Joan Baker

Re: Registration No. 3047, Garvey, Schubert & Barer Supplemental Statement For Period Ending August 9, 1991

Dear Ms. Baker:

Enclosed are three copies of the Supplemental Statement of Registrant for the period ending August 9, 1991, together with an Amendment to the Registration Statement with the pertinent Exhibits thereto.

If you have any questions, please call me in our D.C. office at (202) 965-7880, or Donald P. Swisher in our Seattle office at (206) 464-3939.

Sincerely,

GARVEY, SCHUBERT & BARER A Professional Corporation

Rν

Harold G. Bailes

Enclosures cc: Donald P. Swisher

hgb/9999400/AE0

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

10. In addition to those named in Items 8 and 9, if any, list the foreign principals whom you continued to represent during the 6-month report period.

Japan Deep Sea Trawlers Association/Hokuten Trawlers Association (JDSTA/HTA)

China Ocean Shipping Company

Japan Fisheries Association (JFA)

Hokuten Trawlers Association (HTA)

Canadian Embassy

Ministry of Environment, Province of Ontario

Sumitomo Corporation of America

11. During this six-month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in items 8, 9, and 10 of this statement?

Yes.

If yes, identify each such foreign principal and describe in full detail your activities and services:

NOTE: Our response to Item 11 includes a general description of services performed on behalf of clients for whom we are registered and particular detail required by the "Guidelines for Responses on Supplemental Statements" with respect to matters specifically listed in those guidelines. Specific detail with respect to any activities that are deemed to be "political activities" is provided in response to Item 12 and is not repeated in our response to Item 11.

A. Japan Deep Sea Trawlers Association/Hokuten Trawlers Association (JDSTA/HTA). Analysis of international legal issues affecting high seas fisheries; monitor regulatory, political and legal developments affecting high seas fisheries; prepare legal analysis of extra-territorial regulation of fish stocks straddling jurisdictional boundaries. Specific responses are as follows:

Registrant: Garv

Garvey, Schubert & Barer

Registrant No.: 3047

- a. No activities.
- b. No activities.
- c. Conferences, telephone calls and correspondence with persons in the academic community concerning availability of resource material on law or the sea issues.
  - d. No activities.
- B. Japan Fisheries Association (JFA): Monitor Congressional and administrative action with respect to driftnet and other high seas fisheries issues, including S. 884, H.R. 2152, H.R. 2921 and other fisheries legislation; advise clients regarding these issues. Specific responses are as follows:
  - a. No activities.
  - b. All activities reported under Item 12.
  - c. No activities.
- d. Dissemination limited to privileged communications with clients.
- C. China Ocean Shipping Company (COSCO): Principal activities performed on behalf of COSCO during the reporting period related to representation before the Federal Maritime Commission in connection with its inquiry and succeeding investigation, Docket Number 91-31 ("Actions to Address Adverse Conditions Affecting United States Carriers in the United States/People's Republic of China Trade"). Except as noted below and in connection with our response to item 12, all of these activities are exempt pursuant to 22 U.S.C. § 613(g) and Rule 306. Other services performed on behalf of the client during the reporting period were ordinary legal services falling well outside the scope of registerable activities. Specific responses are as follows:
  - a. No activities.
- b. We had numerous communications to and from the Immigration and naturalization Service and the Department of State with respect to routine visa inquiries for COSCO employees. Contacts that arguably fit the definition of "political activities"

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

are listed separately under item 12. We also had several contacts with Federal Maritime Commission officials within the scope of except activities related to our representation of COSCO in FMC Docket Number 91-31 and its preceding inquiry.

- We had several contacts with persons in trade and maritime related businesses within the scope of exempt activities related to our representation of COSCO in FMC Docket Number 91-31 and its preceding inquiry.
  - d. No activities.
- Sumitomo Corporation of America: Provide in-depth analysis of the nature and prospects of legislative or administrative proposals, or regulatory actions, affecting U.S.-Japan trade and investment relations. Conduct occassional personal or telephone discussions with employees of Congress or the Executive Branch in connection with the above analyses. Specific responses are as follows.
  - No activities. a.
  - All activities reported under item 12. b.
  - No activities. c.
  - Nothing to report. d.
- Canadian Embassy: Monitor and analyze (i) legislative actions relating to the Clean Air Act and other related statutes involving international environmental impacts, (ii) Environmental Protection Agency regulatory actions, especially as they affect the problems of acid rain, and (iii) legislative and administrative actions relating to the extraterritorial impacts of the Endangered Species Act and the Magnuson Fisheries Conservation and Management Act. Specific responses are as follows:
  - No activities. a.
  - All activities reported under Item 12. b.
  - All activities reported under Item 12. c.
  - d. Nothing to report.

Registrant: Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

- F. Province of Ontario, Ministry of the Environment: Monitor and analyze environmental legislation and regulatory activities affecting the Province of Ontario, and inform executive and congressional officials of the transboundary consequences of air pollution. Specific responses are as follows:
  - a. No activities.
  - b. All activities reported under Item 12.
  - c. All activities reported under Item 12.
  - d. Nothing to report.
- G. Japan Wood Products Information and Research Center (JAWIC) (See Exhibit A): Monitor and analyze ongoing legislative and regulatory activities affecting the export of U.S. logs and wood products to Japan; monitor and analyze developments in bilateral and multilateral trade negotiations affecting export of U.S. logs and wood products to Japan. Specific responses are as follows:
  - a. No activities.
  - b. All activities reported under Item 12.
  - c. All activities reported under Item 12.
  - d. Nothing to report.
- 12. During this six month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

Yes.

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interest and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored, or delivered speeches, lectures or radio and TV broadcast, give details as to dates, places of delivery, names of speakers and subject matter.

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

A. Japan Fisheries Association (JFA): Personal or telephone contact by and on behalf of Paul S. Hoff with the following government officials to monitor progress of legislation related to the high seas fisheries. We did not take a position on behalf of our principal with respect to any of the matters being monitored.

Charles Broches Office of Representative John Miller April 4, 1991

Nancy Carmen House Foreign Affairs Committee May 21, 1991

Kevin Collins National Oceanic and Atmospheric Administration April 2, 1991

Earl Comstock Senate Commerce, Science and Transportation Committee May 1, 1991

Penny Dalton Senate Commerce, Science and Transportation Committee June 26, August 2, 1991

Jane Early National Oceanic and Atmospheric Administration February 25, April 3, 11, 1991

Matt Emmons Office of Representative John Miller July 18, 1991

Jean Flemma House Merchant Marine and Fisheries Committee April 3, 1991

Jim Hoff Office of Representative Jolene Unsoeld March 10, 11, 1991

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

Jim McCallum House Merchant Marine and Fisheries Committee March 8, 21, April 11, 25, 1991

Rod Moore House Merchant Marine and Fisheries Committee March 7, April 19, 22, 25, July 18, 1991

John Moran
Senate Commerce, Science and Transportation Committee
June 11, 24, 1991

Jeff Pike House Merchant Marine and Fisheries Committee April 22, 1991

Eric Ostrovsky Office of Senator Brock Adams April 31, 1991

Richard Stone National Marine Fisheries Service March 21, April 11, May 28, 31, June 4, 5, 7, 1991

Michele Thomas Office of Representative Robert Roe March 1, 1991

Steve Thompson National Marine Fisheries Service May 20, 1991

Stetson Tinkham National Oceanic and Atmospheric Administration April 2, 1991

Mike Young U.S. Department of the Interior March 20, 1991

B. China Ocean Shipping Company (COSCO): Personal or telephone contact by and on behalf of Stanley H. Barer, Bruce A. Robertson, Edward J. Sheppard or Harold G. Bailey, Jr. with the

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

following government officials and media representatives with respect to the Federal Maritime Commission's investigation of practices in U.S./China mainland trade.

Dennis Ainsworth General Counsel, American President Lines April 29, 1991

Dennis Bechara Federal Maritime Commission May 1 (meeting) May 23, June 20 and June 26, 1991

Stuart Breidbart General Counsel, Sea-Land Service, Inc. April 29, 1991

Reginald A. Bourdon Assistant Administrator, Maritime Administration March 11, March 25 and July 3, 1991

Robert Bourgoin, General Counsel Federal Maritime Commission February 11, February 25, May 24, May 1 (Meeting), June 27, 1991

Charles Broches
Office of Congressman John Miller
March 14 and March 15, 1991

Al Brooks Department of State May 24, 1991

Mark Cowan House Merchant Marine & Fisheries Committee July 12, 1991

Bill di Benedetto Journal of Commerce May 16, June 27, 1991 (reporter generated telephone call)

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Registrant: Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

Craig Dunlap Journal of Commerce (reporter generated telephone call) May 16, 1991

Ralph Edwards Maritime Administration March 4, March 5 and May 24, 1991

Newt Frank Federal Maritime Commission March 20, 1991

Ming Hsu, Commissioner Federal Maritime Commission May 1, 1991 (meeting)

Frank Ivancie, Commissioner Federal Maritime Commission March 21, 1991

Bruce Johnson Marine Digest May 7, 1991 (reporter generated telephone call)

Pat Jones Port of Seattle May 31, 1991

Peter King Federal Maritime Commission June 27, 1991

Chris Koch, Chairman Federal Maritime Commission March 8 (in person), March 14, May 20 (in person) and May 22, 1991 (in person)

John Livornese Department of State April 15, 1991

Frank Machak Department of State April 11 and April 18, 1991

Registrant:
Registrant No.:

Garvey, Schubert & Barer

3047

For the Six-Month Period Ending August 9, 1991

James Polking, Secretary Federal Maritime Commission February 25, 1991

John Richardson Department of State March 4 and March 5, 1991

Bob Treichel Maritime Administration May 5, 1991

Steve Wilhelm Seattle Business Journal April 15, 1991 (reporter generated telephone call)

C. Canadian Embassy: Personal or telephone contact by or on behalf of Richard A. Wegman or Harold G. Bailey, Jr. with congressional or Administration staff to explore various legislative and regulatory opportunities regarding (i) the Clean Air Act and other related statutes involving international environmental impacts, (ii) Environmental Protection Agency regulatory actions, especially as they affect the problems of acid rain, and (iii) legislative and administrative actions relating to the extraterritorial impacts of the Endangered Species Act and the Magnuson Fisheries Conservation and Management Act. The Government of Canada does not generally take a position in favor of or against any piece of legislation or any particular legislative provision or administrative action.

Doug Ancona National Marine Fisheries Service (Seattle) July 15, 1991 (meeting)

Mike Bancroft National Marine Fisheries Service July 15 (meeting), August 6, 1991

Registrant: Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

Charles Broches Office of Representative John Miller March 11, 12, 13, 14

Janet Coit Senate Environment and Public Works Committee March 11, 14, 1991

Bruce Evans Office of Senator Slade Gorton June 4 (meeting), 10, 1991

John Fitzgerald Defenders of Wildlife March 12, 28, 1991

Carl Gleaves National Marine Fisheries Service June 24, 1991

Jim Hoff Office of Representative Jolene Unsoeld June 4 (meeting), 1991

Jessica Matthews World Resources Institute February 22, 1991

Mark Usellis Office of Representative Ron Wyden March 3, 1991

Mark Walker Office of Senator Mark Hatfield June 5 (meeting), 1991

Steve Wright Bonneville Power Administration June

D. <u>Province of Ontario, Ministry of the Environment:</u>
Personal or telephone contract by or on behalf of Richard A. Wegman or Harold G. Bailey, Jr. with the following

Registrant: Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

government officials to discuss pending environmental legislation. The Province of Ontario is interested in clean air legislation, clean water legislation, and Superfund, particularly as the legislation affects air and water pollution in the Great Lakes. The Province does not generally take a position in favor or against any particular piece of legislation or any legislative provision.

Elise Bean Senate Government Affairs Committee May 20, June 12, 18 (meeting), July 18, 1991

Ellen Bloom Office of Senator Howard Metzenbaum June 25, 1991

Allegra Cangelosi Great Lakes Task Force March 7, April 18, June 18 (meeting), July 18, 1991

Elizabeth Conklin Northeast Midwest Institute February 13, March 7, 1991

Linda Critchfield U.S. Environmental Protection Agency April 4, May 28, 1991

Julie Edelson Inside EPA March 18, 1991

Jane Elder Sierra Club June 26, 1991

Bob Ford Council on Environmental Quality February 15, 19, 1991

Dirk Forrister Office of Representative Jim Cooper April 17, 1991

Registrant:

Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

Tracy Freeman
U.S. Environmental Protection Agency
April 5, 1991

Kent Fuller Great Lakes National Program Office June 21, 25, 1991

Leslie Gaff U.S. Environmental Protection Agency February 13, 1991

Sue Gilbertson
U.S. Environmental Protection Agency
June 21, 1991

Bill Harnet U.S. Environmental Protection Agency February 12, 1991

David Hawkins Natural Resources Defense Council June 12, 1991

Ned Helme Acid Rain Alliance April 23, May 13, 1991

Jim Horne
U.S. Environmental Protection Agency
February 13, 1991

Rich Innes Senate Environment and Public Works Committee June 3, 1991

Brett Kaull Office of Representative Henry Nowak February 13, June 14, 17 (meeting), 1991

Cate Leger House Public Works and Transportation Committee February 13, May 20, 1991

Registrant:

Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

Jeff Mang Hogan & Hartson March 3, April 17, May 23, 1991

Rick Martens Office of Management and Budget July 17, 1991

Brenda Mills Office of Senator John Glenn May 22, July 18, 1991

Jane Moody Inside EPA April 23, May 13, 31, 1991

Judy Pensabene Senate Energy and Natural Resources Committee June 12, 1991

Jimmie Powell Senate Environment and Public Works Committee February 15, June 25, 1991

Vivek Reddy Environmental and Energy Study Institute August 1, 1991

Ken Rivlin Office of Representative Jim Slattery June 6, July 11, 1991

Ken Rosenbaum Office of Representative Ron Wyden August 2, 1991

Bob Rosensteel U.S. Environmental Protection Agency February 12, 1991

Torrey Schobel Inside EPA June 10, 11, 1991

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

Margaret Sheppard U.S. Environmental Protection Agency April 8, 1991

Mark Usellis Office of Representative Ron Wyden August 2, 1991

Mark Van Putten National Wildlife Federation, Michigan June 26, 1991

Jackie Waldren
U.S. Environmental Protection Agency
July 17, 1991

Dan Weiss Sierra Club April 23, 1991

Colleen White Shearman & Sterling February 22, 25, 1991

E. Sumitomo Corporation of America: Personal or telephone contact by or on behalf of Paul S. Hoff with congressional or Administrative staff to explore various legislative or Executive Branch activities that may affect opportunities for trade between U.S. and Japan including legislation relating to government procurement, foreign direct TERM investment, trade retaliation, or trade agreement compliance, the Export Administration Act and the Defense Production Act. The contacts were information gathering in purpose, and did not involve advocacy for or against any particular legislative provision.

Pauline Abernathy Office of Senator Carl Levin May 13, 15

Charles Broches Office of Representative John Miller May 9, 1991

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

Eric Beale Senate Finance Committee March 1, 1991

Bob Carey Office of Representative George Brown May 17, 1991

Loretta Dunn Federal Trade Commission February 27, 1991

Betsy Ford Office of Representative Mel Levine May 17, 1991

Claud Gifford U.S. Department of Agriculture March 25, 1991

Ed Hand U.S. Department of Justice February 19, 1991

George Ingram House Foreign Affairs Committee March 11, 1991

Charles Lake Office of the U.S. Trade Representative May 9, 1991

Linda McDonough U.S. Department of the Treasury June 5, 1991

Mirat Jano Office of the U.S. Trade Representative February 21, 1991

Angela Jewett Senate Banking, Housing, and Urban Affairs Committee April 9, 1991

Registrant:

Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

Bernie Kritzer U.S. Department of Commerce May 9, 1991

Bud Meyers Office of Representative Cardisse Collins February 14, 1991

Janet Milne Office of Senator Lloyd Bentsen February 13, 1991

Steve Nelson Federal Trade Commission April 16, May 20, 1991

Allison Roach U.S. Department of Commerce April 15, May 9, 1991

George Slover House Judiciary Committee February 19, 1991

Bob Spear U.S. Department of Energy May 9, 1991, July 9, 1991

Christophe Tulou House Banking, Finance, and Urban Affairs Committee February 26, 1991

Patricia Weir House Foreign Affairs Committee May 31, June 28, 1991

F. Japan Wood Products Information and Research Center (JAWIC)(See Exhibit A): Personal or telephone contact by or on behalf of Paul S. Hoff or Harold G. Bailey, Jr. with congressional, Administration staff to monitor and analyze ongoing legislative and regulatory activities affecting the export of U.S. logs and wood products to Japan, and to monitor

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

and analyze developments in bilateral and multilateral trade negotiations affecting export of U.S. logs and wood products to Japan. The contacts were information gathering in purpose, and did not involve advocacy for or against any particular legislative provision.

Mike Bagley Office of Representative Norman Dicks May 21, 1991

Marian Barell Office of the U.S. Trade Representative June 4, July 29, August 6, 1991

George Behan Office of Representative Norman Dicks June 5, 1991

Allison Biggs House Agriculture Committee April 5, June 4, 1991

Charles Broches Office of Representative John Miller April 4, 11, May 15, 1991

Abby Daniell Office of Representative Norman Dicks June 5, 1991

Bruce Evans Office of Senator Slade Gorton May 15, 1991

Frank Gladics National Forest Products Association June 11, 1991

Jim Hoff Office of Representative Jolene Unsoeld June 3, 1991

Registrant: Garvey, Schubert & Barer

Registrant No.: 3047

For the Six-Month Period Ending August 9, 1991

Bernie Kritzer
U.S. Department of Commerce
April 11, July 8, 29, 30, 1991

Kathy Johnson House Appropriations Committee June 10, 1991

Gus Kuehne NIFM July 25, 1991

Bruce Lippke University of Washington April 11, 1991

Mimi Mahoney Office of Senator Bob Packwood April 5, 1991

Mark Rother Office of Representative Al Swift July 25, 1991

Tim Warner Office of Senator Brock Adams July 24, 1991

Michael Weland Office of Senator Brock Adams July 25, 1991

Gretchen White Office of Representative Sid Morrison July 30, 1991

#### 14.(a) Receipts-monies.

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes.

Registrant:
Registrant No.:

Garvey, Schubert & Barer

3047

For the Six-Month Period Ending August 9, 1991

If yes, set forth below in the required detail and separately

Registrant: Registrant No.:

Garvey, Schubert & Barer 3047

8-5-91	China Ocean Shipping Company	\$36,181.50	General legal representation; representation before FMC in connection with Docket Number 91-31 and its preceding inquiry.
8-5-91	China Ocean Shipping Company	\$11,601.17	Incidental expenses: photocopies, postage, telephone, express mail and telecopies.
2-27-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$237.50	Legal advice covering substantive and procedural issues involved in the regulation of foreign fisheries under the Magnuson Fishery Conservation and Management Act and related statutes and regulations; monitor developments affecting regulation of fisheries; prepare unpublished paper with respect to extraterritorial regulations of fish stocks straddling jurisdictional boundaries.
2-27-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$1.00	Incidental expenses: photocopies, postage telephone, express mail and telecopies.

Registrant: Registrant No.:

Garvey, Schubert & Barer 3047

4-5-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$4,373.05	Legal advice covering substantive and procedural issues involved in the regulation of foreign fisheries under the Magnuson Fishery Conservation and Management Act and related statutes and regulations; monitor developments affecting regulation of fisheries; prepare unpublished paper with respect to the extraterritorial regulation of fish stocks straddling jurisdictional boundaries.
4-5-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$35.03	Incidental expenses: photocopies, postage telephone, express mail and telecopies
6-03-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$10,202.45	Legal advice covering substantive and procedural issues involved in the regulation of foreign fisheries under the Magnuson Fishery Conservation and Management Act and related statutes and regulations; monitor developments affecting regulation of fisheries; prepare unpublished paper with respect to extraterritorial regulation of fish stocks straddling jurisdictional boundaries.
6-03-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$160.78	Incidental expenses: photocopies, postage telephone, express mail and telecopies.

Registrant: Registrant No.:

Garvey, Schubert & Barer 3047

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7-02-91	Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	\$4,176.00	Legal advice covering substantive and procedural issues involved in the regulation of foreign fisheries under the Magnuson Fishery Conservation and Management Act and related statutes and regulations; monitor developments affecting regulation of fisheries; prepare unpublished paper with respect to extraterritorial regulations of fish stocks straddling jurisdictional boundaries.
7-31-91	Hokuten Trawlers Association	\$1,080.00	Services connected with preparation for FARA Audit.
3-31-91	Japan Fisheries Association	\$19,823.05	Monitor congressional and administrative action with respect to high seas fisheries issues
3-31-91	Japan Fisheries Association	\$176.95	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
6-28-91	Japan Fisheries Association	\$7,916.78	Monitor congressional and administrative action with respect to high seas fisheries issues
6-28-91	Japan Fisheries Association	\$83.22	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
7-30-91	Japan Fisheries Association	\$7,507.36	Monitor congressional and administrative action with respect to high seas fisheries issues.

Registrant: Garvey, Schubert & Barer Registrant No.: 3047

7-30-91	Japan Fisheries Association	\$492.64	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
4-03-91	Canadian Embassy	\$2,929.00	Monitoring and analyzing legislative and regulatory activity pertaining to (i) the Clean Air Act and other related statutes involving international environmental impacts, (ii) Environmental Protection Agency regulatory actions, and (iii) Endangered Species Act and the Magnuson Fisheries Conservation and Management Act.
4-03-91	Canadian Embassy	\$147.11	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
4-23-91	Canadian Embassy	\$3,717.50	Monitoring and analyzing legislative and regulatory activity pertaining to (i) the Clean Air Act and other related statutes involving international environmental impacts, (ii) Environmental Protection Agency regulatory actions, and (iii) Endangered Species Act and the Magnuson Fisheries Conservation and Management Act.
4-23-91	Canadian Embassy	\$75.73	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.

Registrant: Garvey, Schubert & Barer Registrant No.: 3047

3-22-91	Sumitomo	\$39,396.00	Analysis of legislative or administrative proposals, or regulatory actions, affecting U.SJapan trade and investment relations.
3-22-91	Sumitomo	\$460.45	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
4-18-91	Sumitomo	\$17,620.50	Analysis of legislative or administrative proposals, or regulatory actions, affecting U.SJapan trade and investment relations.
4-18-91	Sumitomo	\$99.89	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
4-26-91	Sumitomo	\$15,745.00	Analysis of legislative or administrative proposals, or regulatory actions, affecting U.SJapan trade and investment relations.
4-26-91	Sumitomo	\$127.79	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
5-28-91	Sumitomo	\$17,761.50	Analysis of legislative or administrative proposals, or regulatory actions, affecting U.SJapan trade and investment relations.
5-28-91	Sumitomo	\$144.58	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.

Registrant: Garvey, Schubert & Barer Registrant No.: 3047

7-25-91	Sumitomo	\$14,561.06	Analysis of legislative or administrative proposals, or regulatory actions, affecting U.SJapan trade and investment relations.
7-25-91	Sumitomo	\$212.54	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
8-8-91	Sumitomo	\$18,683.90	Analysis of legislative or administrative proposals, or regulatory actions, affecting U.SJapan trade and investment relations.
8-8-91	Sumitomo	\$272.40	Incidental expenses: photocopies, postage, telephone, express mail, travel and telecopies.
3-07-91	Province of Ontario, Ministry of the Environment	\$10,211.00	Monitor and analyze environmental legislation and regulatory activities affecting Ontario.
3-07-91	Province of Ontario, Ministry of the Environment	\$1,037.58	Incidental expenses: photocopies, taxis, telephone, messengers
4-15-91	Province of Ontario, Ministry of the Environment	\$8,778.50	Monitor and analyze environmental legislation and regulatory activities affecting Ontario.
4-15-91	Province of Ontario, Ministry of the Environment	\$60.40	Incidental expenses: photocopies, taxis, telephone, messengers
6-03-91	Province of Ontario, Ministry of the Environment	\$8,898.75	Monitor and analyze environmental legislation and regulatory activities affecting Ontario.

Registrant: Registrant No.:

Garvey, Schubert & Barer 3047

6-03-91	Province of Ontario, Ministry of the Environment	\$316.11	Incidental expenses: photocopies, taxis, telephone, messengers
6-24-91	Province of Ontario, Ministry of the Environment	\$8,629.50	Monitor and analyze environmental legislation and regulatory activities affecting Ontario.
6-24-91	Province of Ontario, Ministry of the Environment	\$169.29	Incidental expenses: photocopies, taxis, telephone, messengers
7-23-91	Province of Ontario, Ministry of the Environment	\$12,648.75	Monitor and analyze environmental legislation and regulatory activities affecting Ontario.
7-23-91	Province of Ontario, Ministry of the Environment	\$329.63	Incidental expenses: photocopies, taxis, telephone, messengers
3-04-91	Province of Manitoba, Department of Natural Resources	\$3,505.25	Monitor and analyze legislation affecting transboundary waters and legislation affecting nuclear waste programs
3-04-91	Province of Manitoba, Department of Natural Resources	\$71.27	Incidental expenses: photocopies, taxis, telephone, messengers
4-29-91	Province of Manitoba, Department of Natural Resources	\$2,262.00	Monitor and analyze legislation affecting transboundary waters and legislation affecting nuclear waste programs
4-29-91	Province of Manitoba, Department of Natural Resources	\$8.87	Incidental expenses: photocopies, taxis, telephone, messengers

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

#### 15.(a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you (1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement?

#### Note:

We generally do not segregate our office expenses between registerable and non-registerable activities. Therefore, we are reporting all such costs as if they pertained to registerable activities. We account for disbursements as follows:

i. Office Expenses (postage, telephone, telex, messenger service, photocopying, parking, Fax, document preparation)

Client	Date	To Whom	Purpose	Amount
China Ocean Shipping Company	2-1-28-91	Various	Office Expenses	\$495.95
China Ocean Shipping Company	3-1-31-91	Various	Office Expenses	\$1752.66
China Ocean Shipping Company	4-1-30-91	Various	Office Expenses	\$1345.21
China Ocean Shipping Company	5-1-31-91	Various	Office Expenses	\$1341.27
China Ocean Shipping Company	6-1-30-91	Various	Office Expenses	\$512.39
China Ocean Shipping Company	7-1-31-91	Various	Office Expenses	\$780.37
Japan Deep Sea Trawlers Association/ Hokuten Trawlers				
Association	2-1-28-91	Various	Office Expenses	\$35.17

Registrant: Registrant No.:

Garvey, Schubert & Barer 3047

Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	3-1-31-91	Various	Office	Expenses	\$111.32
Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	4-1-30-91	Various	Office	Expenses	\$136.14
Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	5-1-31-91	Various	Office	Expenses	\$209.02
Japan Deep Sea Trawlers Association/ Hokuten Trawlers Association	6-1-30-91	Various 🛼	<sub>∵</sub> 0ff <u>vi</u> ce	Expenses	\$259.47
Japan Deep Sea Trawlers Association/ Hokuten Trawlers	7-1-31-91	Various	SH -10 Office	Property Control of the Control of t	جدم مح
Association	/-1-31-91	various	ULLIGE U	Expenses	\$50.06
Japan Fisheries Association	2-1-28-91	Various	Office	Expenses	\$29.65
Japan Fisheries Association	3-1-31-91	Various	Office	Expenses	\$186.04
Japan Fisheries Association	4-1-30-91	Various	Office	Expenses	\$63.22
Japan Fisheries Association	5-1-31-91	Various	Office	Expenses	\$234.59
Japan Fisheries Association	6-1-30-91	Various	Office	Expenses	\$220.44

Registrant:
Registrant No.:

Garvey, Schubert & Barer 3047

Japan Fisheries Association	7-1-31-91	Various	Office	Expenses	\$196.71
Sumitomo	2-1-28-91	Various	Office	Expenses	\$114.24
Sumitomo	3-1-31-91	Various	Office	Expenses	\$16.58
Sumitomo	4-1-30-91	Various	Office	Expenses	\$184.54
Sumitomo	5-1-31-91	Various	Office	Expenses	\$186.79
Sumitomo	6-1-30-91	Various	Office	Expenses	\$187.90
Sumitomo	7-1-31-91	Various	Office	Expenses	\$140.40
Ontario	2-1-28-91	Various	Office	Expenses	\$362.39
Ontario	3-1-31-91	Various	Office	Expenses	\$149.29
Ontario	4-1-30-91	Various	Office	Expenses	\$309.63
Ontario	5-1-31-91	Various	Office	Expenses	\$342.99
Ontario	6-1-391	Various	Office	Expenses	\$528.06
Manitoba	2-1-28-91	Various	Office	Expenses	\$8.87
Canada	3-1-31-91	Various	Office	Expenses	\$61.14

Registrant: Registrant No.:

Garvey, Schubert & Barer

3047

For the Six-Month Period Ending August 9, 1991

Canada	4-1-30-91	Various	Office	Expenses	\$63.40
Canada	5-1-31-91	Various	Office	Expenses	\$157.81
Canada	6-1-30-91	Various	Office	Expenses	\$330.12
Canada	7-1-31-91	Various	Office	Expenses	\$253.99
JAWIC	4-1-30-91	Various	Office	Expenses	\$24.85
JAWIC	5-1-31-91	Various	Office	Expenses	\$1.50
JAWIC	6-1-30-91	Various	Office	Expenses	\$3.00
JAWIC	7-1-31-91	Various	Office	Expenses	\$85.59

ii. Salaries. No salaries paid by registrant. All persons performing services on behalf of foreign principals are lawyers employed by subagents of registrant engaged in the practice of law. Their compensation does not depend upon performing services on behalf of foreign principals for which we are registered.

#### iii. Travel

Client: China Ocean Shipping Company

Date of Travel	Person Travelling	Purpose of Travel	Dest.		Amt.
2-27-91	Bruce A. Robertson	Meeting with clients	D.Clocal travel	\$	59.50
3-20-91	Stanley H. Barer	Meeting with clients	Beijing, China	\$1,	565.70

Registrant: Registrant No.:

Garvey, Schubert & Barer

3047

For the Six-Month Period Ending August 9, 1991

3-20-91	Bruce A. Robertson	Meeting with clients	Beijing, China	\$1,120.00
5-31-91	Alan P. Sherbrooke	Meeting with clients	New Jersey	\$ 978.64
5-31-91	Bruce A. Robertson	Meeting with clients	New Jersey	\$1,485.65
7-31-91	Edward J. Sheppard	Meeting with clients	Shanghai, China	\$1,144.06
Client:	Japan Fisheries Association			
Date of Travel	Person Travelling	Purpose of Travel	Dest.	Amt.
4-24-91 - 6-6-91	Stephen B. Johnson	Meetings with clients	D.Clocal \$ travel	60.90

#### iv. Advertising

None engaged in.

#### iv. Public Relations

None engaged in.

#### vi. Entertainment

Client:	Japan Fisheries Association		
Date	Persons	Purpose of Entertainment	Amt.
2-5-91	Stephen B. Johnson	Discuss issues with client	\$65.43
5-23-91	Stephen B. Johnson Bill Burke	Discuss issues with client	\$31.75

Registrant:

Garvey, Schubert & Barer

Registrant No.:

3047

For the Six-Month Period Ending August 9, 1991

Client:	Japan Deep Sea Trawlers Association		
Date	Persons	Purpose of Entertainment	Amt.
3-5-91	Stephen B. Johnson John E. Mericle Jay Hastings	Discuss issues with client	\$24.64

#### 15. (c) Disbursements - political contributions

During this six month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes.

If yes, furnish the following information:

Date	Amount	Name of Political Org.	Contributor
2/9/90- 8/9/91	\$300.00	Garvey, Schubert & Barer PAC	Stanley H. Barer
2/9/90- 8/9/91	\$600.00	Garvey, Schubert & Barer PAC	Alan A. Butchman
2/9/90- 8/9/91	\$600.00	Garvey, Schubert & Barer PAC	Paul S. Hoff
2/9/90- 8/9/91	\$480.00	Garvey, Schubert & Barer PAC	Stephen B. Johnson
2/9/90- 8/9/91	\$600.00	Garvey, Schubert & Barer PAC	Bruce A. Robertson
2/9/90- 8/9/91	\$425.00	Garvey, Schubert & Barer PAC	Edward J. Sheppard

Registrant: Registrant No.:

Garvey, Schubert & Barer 3047

For the Six-Month Period Ending August 9, 1991

2/9/90- 3/9/91 \$420.00	Garvey, Schubert & Barer PAC	Alan P. Sherbrooke
2/9/90- 3/9/91 \$480.00	Garvey, Schubert & Barer PAC	Donald P. Swisher
2/9/90- 3/9/91 \$600.00	Garvey, Schubert & Barer PAC	Richard A. Wegman
2-10-91 \$1000.0	O Committee for U.S. Senator Robert Packwood	Stanley H. Barer
3-10-91 \$100.00	National Committee for an Effective Congress	Alan P. Sherbrooke
5-3-91 \$200.00	Brock Adams Senate Campaign	Alan A. Butchman
5-14-91 \$250.00	Brock Adams Senate Campaign	Harold G. Bailey, Jr.
5-8-91 \$100.00	Brock Adams Senate Campaign	Donald P. Swisher
5-26-91 \$2000.0	O Brock Adams Senate Campaign	Stanley H. Barer
3-6-91 \$25.00	Mike Lowry Committee	Alan P. Sherbrooke

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